Brochure Supplement for Supervised Persons

Form ADV Part 2B

Public Safety Financial/Galloway

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This brochure supplement provides information about Galloway Asset Management, LLC DBA Public Safety Financial/Galloway's (PSF/Galloway) investment advisor representative personnel that supplement the Public Safety Financial/Galloway brochure (Form ADV Part 2A). You should have received a copy of that brochure. Please contact Michael Galloway, Chief Compliance Officer, if you did not receive Public Safety Financial/Galloway brochure or if you have any questions about the contents of this supplement.

MICHAEL JEFFREY GALLOWAY

Chief Executive Officer
Chief Compliance Officer
Financial Advisor
Born 1961

Educational Background and Business Experience

Educational Background
- AAS; Administration of Justice (1988), Mesa Community College

Business Experience
- CCO, Galloway Asset Management, LLC (2023-Present)
- CEO, Galloway Asset Management, LLC (2005-Present)
- Branch Office Manager/Registered Representative, Purshe Kaplan Sterling Investments (2014-Present)
- Registered Representative, Cambridge Investment Research, Inc. (2008-2013)
- Registered Representative, Multi-Financial Securities Corporation (2003-2008)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Michael Galloway.

Other Business Activities

In his individual separate capacity, Mike Galloway is associated with Purshe Kaplan Sterling Investments (PKS) as a registered representative (RR) and licensed insurance agent. PKS is a registered broker-dealer and a member of the Financial Industry Regulatory Authority (FINRA). As a PKS representative, Mike may offer clients the option to purchase securities and investment products distributed by that firm including, but not limited to, mutual funds, variable annuities, variable life insurance, stocks and bonds, and limited partnerships, as well as fixed insurance and annuity products. If a client purchases or sells securities or insurance products from him in this capacity, then he will receive commissions and related compensation, such as mutual fund service fees (12b-1 fees) and insurance trail fees from PKS. This may be conflict of interest, as his receipt of compensation from such recommendations can give him an
incentive to recommend investment products based on the compensation received, rather than on the needs of the client. Mike makes every effort to recommend securities and insurance products that are most appropriate for the client, without consideration of compensation arrangements. Clients are under no obligation to purchase recommended securities or insurance products through Mike and may purchase such products through the broker-dealer or insurance agency of their choice.

Mike Galloway is a licensed insurance agent with Public Safety Financial Insurance. Mike may offer clients the option to purchase fixed insurance and annuity products. Mike makes every effort to recommend products that are most appropriate for the client. Clients are under no obligation to purchase recommended insurance products through Mike and may purchase such products through the insurance agency of their choice.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Mike Galloway for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Mike Galloway is CEO of Public Safety Financial/Galloway therefore he is responsible for his and Todd Thomas is responsible for all other Financial Advisors' advisory activities at Public Safety Financial/Galloway. Mike Galloway can be reached at 480-325-8668

TODD THOMAS, CFS®

Vice President
Financial Advisor

Born 1962

Educational Background and Business Experience

Educational Background

- M.Ed; (1999), Northern Arizona University
- BA; Public Administration (1984), Northern Arizona University

Professional Certification

Certified Fund Specialist (CFS®), 2017

This designation is conferred upon candidates who complete an 135+ hour educational program focusing on closed-end funds, mutual funds, ETFs, REITs, UITs and modern portfolio theory. The student must pass three comprehensive exams, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements.

Business Experience

- Vice President / Financial Advisor, Galloway Asset Management, LLC (2022-Present)
- Regional Vice President / Financial Advisor, Galloway Asset Management, LLC (2021-2022)
- Financial Advisor, Galloway Asset Management, LLC, *(Independent Contractor 2015-2019),* (2019-2021)
- Owner, Premier Personal Assistants (2006-2016)
- Police Lieutenant, City of Mesa Police Department (1989-2003)
- Police Officer, City of Scottsdale Police Department (1984-1989)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Todd Thomas.

Other Business Activities

Todd Thomas is a licensed insurance agent with Public Safety Financial Insurance. Todd may offer clients the option to purchase fixed insurance and annuity products. Todd makes every effort to recommend products that are most appropriate for the client. Clients are under no obligation to purchase recommended insurance products through Todd and may purchase such products through the insurance agency of their choice.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Todd Thomas for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Todd Thomas's advisory activities are supervised by Mike Galloway, CEO, Public Safety Financial/Galloway. Mike Galloway can be reached at 480-325-8668.

DAVID VIDAURE, CFS®

Regional Vice President
Financial Advisor

Born 1978

Educational Background and Business Experience

Educational Background

- MBA (2015), Grand Canyon University
- BS; Finance (2000), Arizona State University

Professional Certification

Certified Fund Specialist (CFS®), 2022

This designation is conferred upon candidates who complete an 135+ hour educational program focusing on closed-end funds, mutual funds, ETFs, REITs, UITs and modern portfolio theory. The student must pass three comprehensive exams, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements.

Certified Social Security Specialist (CSS™), 2022

This designation is conferred upon candidates who complete the CSS program which contains one module, with one 75 question exam, and a written case study. The student must pass a comprehensive exam, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements.

Business Experience

- Regional Vice President/Financial Advisor, Galloway Asset Management, LLC (2021-Present)
- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2018-2021)
- Police Lieutenant, City of Glendale (2003-2023)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of David Vidaure

Other Business Activities

David Vidaure is not actively involved in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to David Vidaure for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. David Vidaure's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

CHRISTOPHER HOERCHLER

Financial Advisor
Born 1966

Educational Background and Business Experience

<u>Business Experience</u>

- Financial Advisor, Galloway Asset Management, LLC (2023 – Present)
- Regional Vice President / Financial Advisor, Galloway Asset Management, LLC (2014 – 2023)
- Financial Advisor / Manager-Team Leader, Galloway Asset Management, LLC (2010 - 2014)
- Financial Advisor, Galloway Asset Management, LLC (2008 - 2010)
- Administrative Assistant/Financial Advisor, Galloway Asset Management, LLC (2007 - 2008)
- Registered Representative, Purshe Kaplan Sterling Investments (2014-2015)
- Registered Representative, Cambridge Investment Research, Inc. (2008-2013)
- Police Officer, City of Mesa Police Department, (1995–2010)
- Registered Representative, Multi-Financial Securities Corp (2007-2008)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Christopher Hoerchler.

Other Business Activities

Christopher Hoerchler is not actively engaged in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Chris Hoerchler for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Chris Hoerchler's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

STEVEN WHEELER, CFS®

Financial Advisor

Born 1961

Educational Background and Business Experience

Professional Certification

Certified Fund Specialist (CFS®), 2017

This designation is conferred upon candidates who complete an 135+ hour educational program focusing on closed-end funds, mutual funds, ETFs, REITs, UITs and modern portfolio theory. The student must pass three comprehensive exams, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements

Business Experience

- Financial Advisor, Galloway Asset Management, LLC, *(Independent Contractor 2015-2021),* (2021-Present)
- Director of Security, Victory Worship Center(2018-2020)
- Sergeant, City of Tucson Police Department (1990-2015)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Steven Wheeler.

Other Business Activities

Steven Wheeler is not actively involved in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Steven Wheeler for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Steven Wheeler's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

SHANE KNOLL

Financial Advisor

Born 1975

Educational Background and Business Experience

Educational Background

• BA in Secondary Education (2000), Marquette University

Business Experience

• Financial Advisor, Galloway Asset Management, LLC (2020-Present)
• Registered Representative, Purshe Kaplan Sterling Investments (2014-2023)
• Client Relationship Specialist, Galloway Asset Management, LLC (2013-2022)
• Registered Representative, Cambridge Investment Research, Inc. (2013-2014)
• Mass Transfer, J.P. Morgan Securities LLC (2012-2013)
• Licensed Banker, Chase Investment Services Corp (2005-2012)
• Licensed Banker, WM Financial Services, Inc. (2004-2005)
• Financial Advisor, American Express Financial Advisors (2003-2004)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Shane Knoll.

Other Business Activities

Shane Knoll is not actively involved in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Shane Knoll for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Shane Knoll's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

JOHN HENDERSON, CFP®

Financial Advisor

Born 1998

Educational Background and Business Experience

Educational Background

- BS; Finance & Economics (2019), Grand Canyon University

Professional Certification

Certified Financial Planner (CFP®), 2024

This designation is conferred upon candidates who hold a Bachelor's degree or higher from an accredited college or university, has completed specific courses in financial planning specified by the CFP Board, and has at least three years of full-time professional experience in the industry. The candidate must pass the exam, adhere to the CFP Board's Code of Ethics and Standards of Conduct, and fulfil continuing education requirements.

Business Experience

- Financial Advisor, Galloway Asset Management, LLC (2023-Present)
- Registered Representative, LPL Financial LLC (2022 to 2023)
- Registered Representative, Charles Schwab & Co., Inc. (2020 to 2022)
- Accountant, Freeport-McMoRan (2020)
- Student Worker, GCU Office of Alumni Relations (2019)
- Student, Grand Canyon University (2016 to 2019)
- Accounting Intern, Freeport-McMoRan (2019)
- Resident Assistant, Grand Canyon University (2017 to 2019)
- Operations Intern, OneWay Ministries (2018)
- Program Specialist, Booster Enterprises, Inc. (2017 to 2018)
- Takeout Worker, Avantis Ristorante (2015 to 2016)
- Student, Peoria Christian High School (2012 to 2016)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of John Henderson.

Other Business Activities

John Henderson is a licensed insurance agent with Public Safety Financial Insurance. John may offer clients the option to purchase fixed insurance and fixed indexed annuity products. John makes every effort to recommend products that are most appropriate for the client. Clients are under no obligation to purchase recommended insurance products through John and may purchase products through the insurance agency of their choice.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to John Henderson for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. John Henderson's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

Joseph Turley, CFS®

Financial Advisor

Born 1969

Educational Background and Business Experience

Professional Certification

Certified Fund Specialist (CFS®), 2019

This designation is conferred upon candidates who complete an 135+ hour educational program focusing on closed-end funds, mutual funds, ETFs, REITs, UITs and modern portfolio theory. The student must pass three comprehensive exams, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements

Educational Background

- BA; Police Science (2004), Ottawa University

Business Experience

- Financial Advisor/Operations, Galloway Asset Management, LLC (2021-Present)
- Hunting Associate, Bass Pro Shops (2020-2021)
- Millworks Associate, The Home Depot, LLC (2020)
- Team Financial Advisor, Morgan Stanley (2019-2020)
- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2017-2019)
- Registered Representative, Primerica Financial Services (2016)
- Code Inspector, Town of Gilbert (2016)
- Army JROTC Instructor, Mesa Public Schools (2013-2015)
- Veteran's Service Representative, US Department of Veterans Affairs (2012)
- Police Sergeant, City of Mesa (1993-2012)
- Captain, Military Police, US Army National Guard (1988-2010)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Joseph Turley.

Other Business Activities

Joe Turley is not actively engaged in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Joseph Turley for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Joseph Turley's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

JEFFREY HAWKINS

Financial Advisor
Born 1969

Educational Background and Business Experience

Educational Background
• BS; Criminal Justice (1993), New Mexico State University
• Masters; Educational Leadership (1999), Northern Arizona University

Business Experience
• Financial Advisor, Galloway Asset Management, LLC (2023-Present)
• Scottsdale Police Department, Lieutenant (2019-Present)
• Scottsdale Police Department, Sergeant (2011-2019)
• Scottsdale Police Department, Detective (2001-2011)
• Scottsdale Police Department, Officer (1994-2001)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Jeffrey Hawkins.

Other Business Activities

Jeffrey Hawkins is employed full time with City of Scottsdale Police Department as a Police Lieutenant and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Jeff Hawkins for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Jeffrey Hawkin's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

MARK McPHERSON

Financial Advisor

Born 1955

Educational Background and Business Experience

Educational Background

- Masters; Business Administration (1993), Southern Methodist University
- BA; Business Administration (1978), Texas Tech University

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2005-Present)
- Registered Representative, Purshe Kaplan Sterling Investments (2014-Present)
- Registered Representative, Cambridge Investment Research, Inc. (2008-2014)
- Financial Planner, Galloway Financial Group, Inc. (2003-2005)
- Registered Representative, Multi-Financial Securities Corp. (2003-2008)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Mark McPherson.

Other Business Activities

In his individual separate capacity, Mark McPherson is associated with Purshe Kaplan Sterling Investments (PKS) as a registered representative (RR) and licensed insurance agent. PKS is a registered broker-dealer and a member of the Financial Industry Regulatory Authority (FINRA). As a PKS representative, Mark may offer clients the option to purchase securities and investment products distributed by that firm including, but not limited to, mutual funds, variable annuities, variable life insurance, stocks and bonds, and limited partnerships, as well as fixed insurance and annuity products. If a client purchases or sells securities or insurance products from him in this capacity, then he will receive commissions and related compensation, such as mutual fund service fees (12b-1 fees) and insurance trail fees from PKS. This may be conflict of interest, as his receipt of compensation from such recommendations can give him an incentive to recommend investment products based on the compensation received, rather than on the needs of the client.

Mark makes every effort to recommend securities and insurance products that are most appropriate for the client, without consideration of compensation arrangements. Finally, clients are under no obligation to purchase recommended securities or insurance products through Mark and may purchase such products through the broker-dealer or insurance agency of their choice.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Mark McPherson for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Mark McPherson's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

CODY CARMICHAEL

Financial Advisor

Born 1977

Educational Background and Business Experience

Educational Background

•AA; Criminal Justice (1997), Glendale Community College

Business Experience

•Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2012-Present)
•Police Sergeant, City of Glendale Police Department (2019-Present)
•Police Officer, City of Glendale Police Department (2000-2019)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Cody Carmichael.

Other Business Activities

Cody Carmichael is employed full time with City of Glendale Police Department as a Police Detective and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Cody Carmichael for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Cody Carmichael's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

BRIAN DELFS

Financial Advisor

Born 1964

Educational Background and Business Experience

Educational Background

- Masters; Administration (2010), Northern Arizona University
- BA; Leadership & Administration (2006), National Labor College
- AAS; Fire Science (1991), Pima Community College

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2014-Present)
- Fire Chief, Three Points Fire District (2021-Present)
- Fire Chief, Avra Valley Fire District (2010-Present)
- Haz Mat Captain, City of Tucson Fire Department (1982-2015)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Brian Delfs.

Other Business Activities

Brian Delfs is employed full time with Avra Valley Fire District as the Fire Chief and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Brian Delfs for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Brian Delfs' advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

JOE CONNELL

Financial Advisor

Born 1956

Educational Background and Business Experience

Educational Background

- BA; Behavioral Science/Economics (1979), Western Michigan University

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2014-Present)
- Registered Representative, Cambridge Investment Research, Inc. (2011-2014)
- Financial Advisor, Mass Mutual Financial Group (2010-2011)
- Regional Vice President, VALIC (1984-2009)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Joe Connell.

Other Business Activities

Joe Connell is not actively engaged in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Joe Connell for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Joe Connell's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

TYLER ALLEN

Financial Advisor

Born 1982

Educational Background and Business Experience

Educational Background

- AA; General Business (2014), South Mountain Community College

Business Experience

- Driver, Amazon Flex (2018-2019)
- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2015-Present)
- Communications Supervisor, City of Phoenix Police Department (2008-Present)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Tyler Allen.

Other Business Activities

Tyler Allen is employed fulltime with City of Phoenix Police Department as a Communications Supervisor and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Tyler Allen for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Tyler Allen's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

MATTHEW ROGERS

Financial Advisor

Born 1971

Educational Background and Business Experience

Educational Background

- BS; Administration (2017), Northern Arizona University
- AAS; Emergency Response and Operations (2011), Mesa Community College

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2015-Present)
- Captain, City of Mesa Fire Department (1993-Present)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Matthew Rogers.

Other Business Activities

Matthew Rogers is employed fulltime with City of Mesa Fire Department as a Captain and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Matthew Rogers.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Matthew Roger's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

CASEY JOHNSTON

Financial Advisor

Born 1977

Educational Background and Business Experience

Educational Background

- BA; Business Communications (2001), Arizona State University

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2015-Present)
- Captain/Paramedic, City of Chandler Fire Department (2002-2022)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Casey Johnston.

Other Business Activities

Casey Johnston is a licensed insurance agent with Public Safety Financial Insurance. Casey may offer clients the option to purchase fixed insurance and annuity products. Casey makes every effort to recommend products that are most appropriate for the client. Clients are under no obligation to purchase recommended insurance products through Casey and may purchase such products through the insurance agency of their choice.

Casey Johnston is an owner/landlord of rental properties (Myles Navarra LLC, Flagstaff Beech LLC, Moses Vista LLC, Lincoln Rochester LLC) and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Casey Johnston for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Casey Johnston's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

JORDAN ANDREWS

Financial Advisor

Born 1991

Educational Background and Business Experience

Educational Background

• BA; Arts in Psychology (2012), Arizona State University

Business Experience

• Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2015-Present)
• Administrative, Hubbard Family Swim School (2008-2015)
• Affiliate, Vemma Nutrition Company (2011-2015)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Jordan Andrews.

Other Business Activities

Jordan Andrews is not actively engaged in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Jordan Andrews for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Jordan Andrew's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

CHRISTOPHER DeChant, CFS®

Financial Advisor
Born 1971

Educational Background and Business Experience

Educational Background
•M.Ed; Educational Leadership (2006), Northern Arizona University
•BA; Public Safety Administration (2001), Grand Canyon University

Professional Certification
Certified Fund Specialist (CFS®), 2019
This designation is conferred upon candidates who complete an 135+ hour educational program focusing on closed-end funds, mutual funds, ETFs, REITs, UITs and modern portfolio theory. The student must pass three comprehensive exams, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements

Business Experience
- Assistant Chief, Arizona Fire & Medical Authority (2020-Present)
- Fire Chief, El Mirage Fire Department (2018-2020)
- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2016-Present)
- Assistant Chief, City of Glendale Fire Department (1995-2018)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Christopher DeChant.

Other Business Activities

Christopher DeChant is employed fulltime with the Arizona Fire & Medical Authority as an Assistant Chief and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Christopher DeChant for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Christopher DeChant's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

DREW GRIFFITH, CFS®

Financial Advisor

Born 1992

Educational Background and Business Experience

Educational Background

- Masters; Management (2014), Arizona State University
- BA; Arts in Psychology (2013), Arizona State University

Professional Certification

Certified Fund Specialist (CFS®), 2017

This designation is conferred upon candidates who complete an 135+ hour educational program focusing on closed-end funds, mutual funds, ETFs, REITs, UITs and modern portfolio theory. The student must pass three comprehensive exams, complete a written case study as well as adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2016-Present)
- Client Relationship Specialist, Galloway Asset Management, LLC (2015-2017)
- Team Lead, Buckle Clothing Company (2009-2015)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Drew Griffith.

Other Business Activities

Drew Griffith is not actively engaged in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Drew Griffith.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Drew Griffith's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

WILLIAM LYALL

Financial Advisor

Born 1964

Educational Background and Business Experience

<u>Business Experience</u>

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2017-Present)
- Fire Captain, City of Tempe (1985-2017)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of William Lyall.

Other Business Activities

William Lyall is not actively engaged in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to William Lyall for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. William Lyall's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

CHRISTOPHER HERN

Financial Advisor

Born 1963

Educational Background and Business Experience

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2019-Present)
- Police Lieutenant, City of Mesa (1986-2016)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Christopher Hern.

Other Business Activities

Christopher Hern is not actively engaged in any other business activities.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Christopher Hern for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Christopher Hern's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

MARK EWINGS

Financial Advisor
Born 1977

Educational Background and Business Experience

Educational Background
BA; Finance (1999), University of Arizona

Business Experience
- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2021-Present)
- Sergeant, City of Tucson Police Department (2003-Present)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Mark Ewings.

Other Business Activities

Mark Ewings is employed fulltime with City of Tucson Police Department as a Sergeant and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Mark Ewings for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Mark Ewings' advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

ANDREW EVANS

Financial Advisor

Born 1987

Educational Background and Business Experience

Educational Background
BA; Physical Education (2009), Azusa Pacific University
MA; Leadership (2012), Azusa Pacific University

Business Experience

- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2023-Present)
- Police Detective, City of Orange Police Department (2021-Present)
- Police Officer, City of Orange Police Department (2013-2021)
- Substitute Teacher, Covina Valley Unified School District (2013)
- Assistant Baseball Coach, Azusa Pacific University (2010-2013)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Andrew Evans

Other Business Activities

Andrew Evans is employed fulltime with City of Orange Police Department as a Detective and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Andrew Evans for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Andrew Evan's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

CRAIG HALVER

Financial Advisor

Born 1979

Educational Background and Business Experience

Educational Background
BA; Emergency Services Management (2009), Northern Arizona University
AAS; Fire Science (2000), Mesa Community College

Business Experience
- Financial Advisor (Independent Contractor), Galloway Asset Management, LLC (2023-Present)
- Captain/Paramedic, Superstition Fire & Medical District (2002-Present)

Disciplinary Information

There are no legal or disciplinary events material to a Client's or prospective Client's evaluation of Craig Halver.

Other Business Activities

Craig Halver is employed fulltime with Superstition Fire & Medical District as a Captain/Paramedic and this other business is not investment related.

Additional Compensation

No person or entity other than advisory clients provides an economic benefit to Craig Halver for providing advisory services.

Supervision

All advice provided to clients is standardized according to the client's portfolio. Craig Halver's advisory activities are supervised by Todd Thomas, Vice President, Public Safety Financial/Galloway. Todd Thomas can be reached at 480-325-8668.

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